Symposium on the ASW and NSW
Borobudor Hotel, Jakarta, Indonesia
18 September 2012

Summary of Discussion and Recommendations

(Session 3 : ASEAN Officials Brainstorming on ASW Vision and "End-State")

Areas of Discussion

- ✓ **The current ASW architecture to support the ASEAN governments' objective in striking a balance between facilitating trade and regulating trade**

- ✓ **ASW to be used for communication or exchange of data/information/document (B2B, G2G) and cross-border submission of legal documents or declarations (B2G, G2B) between NSWs**

- ✓ **Expected services from ASEAN Single Window**

- ✓ **Steps that could be taken to achieve the envisaged ASW**

Outcome of Discussion

❖ **With the sharing of information on goods in-transit by the country of departure with countries of transit and destination, the ASW could facilitate seamless movement of goods throughout the whole transaction of transit esp. removal of physical inspection at the border.**

❖ **Pre-arrival information exchanged through the ASW would enable more effective risk targeting and more efficient control of goods by Customs and Other controlling agencies**

❖ **Clearance of goods could be expedited within ASEAN if Member States have mutual recognition on the Authorized Economic Operators registered in any of the Member State. Ultimately, the ASW could help realize the implementation of a regional Authorized Economic Operators Program in ASEAN as a single market**

Outcome of Discussion ...cont.

- ❖ **G2G Exchange of Preferential Certificate of Origin through the ASW could help authenticate validity of the document and reduce processing time of customs declaration.**

- ❖ **Other G2G exchange, e.g. phytosanitary, health, vet. certificates etc.**

- ❖ **To avoid re-inventing the wheel, ASW could interface with identified B2B system to enable reusability of data between the two systems**

- ❖ **The NSWs should provide services that could support the function of ASW towards facilitating trade as well as regulating trade**

- ❖ **Need to have common identifiers for traders and consignments to support risk management, pre-arrival clearance, re-usability of data, track and trace, etc.**

The Way Forward

Challenge 1	Way Forward at Regional Level
Supporting Seamless Movement of Goods In-Transit	➢ To implement ASW to support processes and relevant information exchange on goods in-transit under the Protocol 2 & 7 of the AFAFGIT
	Way Forward at National Level
	➢ Member States to ensure their respective Customs System and/or NSW is able to support the implementation of the ASEAN regional transit system (ASEAN Customs Transit System/ACTS) ➢ National awareness program on ACTS
Challenge 2	**Way Forward at Regional Level**
Supporting pre-arrival processing and clearance	➢ To establish business process and legal framework for Pre-arrival information (including Advance Cargo Information) to be exchanged through ASW between the relevant source of information and the recipient party prior to arrival of cargo

The Way Forward

Challenge 2	Way Forward at Regional Level
	➢ Conduct regional capacity building to enable Member States in understanding the underlying requisites in the development and implementation of pre-arrival customs processing
	Way Forward at National Level
	➢ Member States to ensure their respective Customs System and/or NSW implement risk management for pre-arrival clearance using the pre-arrival information
	➢ Conduct national capacity building to enable various government agencies to understand, evaluate, and implement pre-arrival customs processing
	➢ Member States need to provide a legal framework to support the development and implementation of pre-arrival cargo clearance

The Way Forward

Challenge 3	Way Forward at Regional Level
Differing legal requirements in Member States discourage business entities from using e-transactions	➤ Expedite the ASW Legal Framework Agreement to promote an electronic exchange of cross-border documents
	Way Forward at National Level
	➤ Member States to introduce voluntary and/or regulatory approaches to ensure gradual adoption of electronic documents
	➤ Conduct national capacity building to support various government agencies to comply with the ASW Legal Framework Agreement for electronic transactions
	➤ Member States to establish an enabling law to support ASW / NSW interoperability and interconnectivity

The Way Forward

Challenge 4	Way Forward at Regional Level	
Acceptance of electronic Preferential Certificate of Origin (ePCO) without manual signature	➢ Enhance the Operational Certification Procedures (OCP) and business process to enable exchange of electronic PCO through ASW towards full paperless PCO	
	Way Forward at National Level	
	➢ Member States to introduce voluntary and/or regulatory approaches to ensure gradual adoption of electronic documents	
	➢ Conduct national capacity building on the OCP for 'paperless' electronic ePCO.	

The Way Forward

Challenge 5	Way Forward at Regional Level
Not all AMS have implemented AEO (Authorized Economic Operator) programs	➢ Encourage all Member States to implement AEO programs
	➢ Member States who have implemented the AEO Program to have Mutual Recognition Agreements
	➢ Maintain a regional database of AEO who are recognized under the Mutual Recognition Agreements, in the ASW Regional Services
	Way Forward at National Level
	➢ Encourage all Member States to provide a legal framework to support and implement the AEO program at the national level

The Way Forward

Challenge 6	Way Forward at Regional Level
Data re-usability for different business processes	➢ Conduct consultation with identified B2B system to explore on the possibility of data exchange between ASW and such identified B2B system
	➢ Conduct Business Process Analysis activity to identify feasible/ potential business transactions to be implemented and to provide interoperability and coherence between the ASW and other systems (including NSW and other B2B systems) to enable data re-usability
	➢ Conduct awareness program to enable controlling agencies and private sector in recognizing the value-adding capability of cross-border electronic messages in respect of data re-usability which could expedite the cargo clearance process as a whole.
	Way Forward at National Level
	➢ Member States to carry out national data harmonization to support data re-usability requirements. ➢ Member States to be ready in terms of IT infrastructure and systems

The Way Forward

Challenge 7	Way Forward at Regional Level
Implement common identifiers for traders and consignments	➤ Establish strategic plan towards implementation of common identifiers for traders and consignments
	Way Forward at National Level
	➤ Dependent on above

The Way Forward

Challenge 8	Way Forward at Regional Level
Implement G2G exchange for regulatory documents	➢ Prioritize G2G documents to exchange in the ASW, e.g. Certificate of analysis, phytosanitary certificates, health certificates, veterinary certificates
	➢ Establish Mutual Recognition Agreements between regulatory agencies in Member States for regulatory permits, certificates, etc. (e.g. Phytosanitary certificates)
	Way Forward at National Level
	➢ Promote electronic processing and exchange of regulatory permits

THANK YOU